



16152 U.S. PTO

021804

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Stefan Kwiatkowski and Miroslaw Golinski for **Dichlorinated Heterocyclic Compounds And Methods Of Synthesis**.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date **February 18, 2004** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EV329483118US** addressed to: **Box Patent Application**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Traci E. Light

1. Type Of Application

This new application is for a(n)

- ☒ Original (nonprovisional)
☒ Divisional.

2. Benefit Of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**.

3. Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

- 15 Pages of Specification
1 Pages of Claims
1 Page of Abstract
7 Sheets of Formal Drawings

4. Additional Papers Enclosed

- ☒ Preliminary Amendment

5. Declaration

- ☒ Enclosed
☒ Unexecuted.

6. Inventorship Statement

The inventorship for all the claims in this application is:

- ☒ the same

7. Language

- ☒ English

8. Assignment

- ☒ An assignment of the invention to **R.T. Alamo Ventures I, LLC** is attached.
☒ Form PTO-1595 will follow.

9. Fee Calculation (37 C.F.R. § 1.16)

- ☒ Regular application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee - \$770.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	4 - 20 =	0 × \$18.00 =	\$0.00
Independent Claims (37 C.F.R. § 1.16(b))	4 - 3 =	1 × \$86.00 =	\$86.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$290.00 =		\$0.00
Filing Fee Calculation			\$856.00

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10. Small Entity Statement(s)

☒ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §§ 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$428.00

11. Fee Payment Being Made At This Time

☒ Enclosed

☒ basic filing fee

\$428.00

Total Fees Enclosed \$428.00

12. Method of Payment of Fees

☒ Check in the amount of **\$428.00**

13. Authorization To Charge Additional Fees and Credit Overpayment

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: **08-1290**. An originally executed duplicate of this transmittal is enclosed for this purpose.

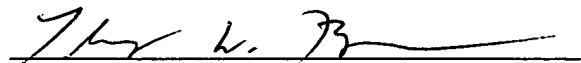
14. Power of Attorney by Assignee

☒ Enclosed

15. Return Receipt Postcard

☒ Enclosed

Dated: February 18, 2004



Thomas W. Brown
Registration No.: 50,002

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☒ **Incorporation By Reference Of Added Pages**

☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s)
Claimed

Number of pages added 1

**ADDED PAGES FOR APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**

16. Relate Back

A. 35 U.S.C. § 119(e)

B. 35 U.S.C. §§ 120, 121 and 365(c)

- ☒ Amend the Specification by inserting before the first line the sentence: "This is a Divisional of copending application(s) **10/281,563** filed on **10/28/02** which is a conversion of provisional patent application **60/361,146** filed on **03/01/02**."

17. Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed

- a. This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are ☒ the same.